

Mining Mineral Resources S.A.S.

STEP 5 REPORT – 2023

Company Information

Legal Entity Name: Mining Mineral Resources S.A.S.

Registration Number: CD/TRICOM/LSH/RCCM/14-B-01615

Registered Address: 588, Kipushi Road, Lubumbashi, Haut-Katanga, Democratic Republic of Congo

Smelter Location: 588, Kipushi Road, Lubumbashi, Haut-Katanga, Democratic Republic of Congo

Smelter ID: CID 0004065

Website & Responsible Sourcing Pages: www.mmrdrc.com, [Download Centre](#)

Report Period: January 1, 2023 – December 31, 2023

Overview of Tin Smelting Operations

Established in 2009, **Mining Mineral Resources (MMR)** has been a pioneer in the exploration and production of critical minerals, including tin, tantalum, and tungsten. Originally focused on trading and depot operations, MMR has evolved by integrating its trading activities with its state-of-the-art smelter, reinforcing its commitment to innovation and quality.

MMR remains a leader in responsible sourcing, being the **first company to implement an upstream traceability system** in the Democratic Republic of Congo. The company adheres to **OECD compliance standards** and holds **RMI certification**, demonstrating its commitment to ethical and transparent mineral sourcing practices.

Our smelting operations employ mechanized, semi-mechanized, and artisanal small-scale mining (ASM) methods, ensuring diversified and high-quality production. MMR operates across seven provinces, managing 15 trading depots and several mining sites.

MMR's **production facilities** include two electric arc furnaces, certified under the **Responsible Minerals Initiative (RMI)**, with a production capacity of **up to 3,600 metric tons** of LME-grade tin metal. Since **2022**, MMR has consistently produced **Grade A equivalent tin**, reinforcing its position as a trusted leader in ethical and efficient mineral processing.

MMR employs a skilled team of **100 professionals**, supported by an experienced management team overseeing strategic and operational functions.

Scope of Minerals and Materials

The **MMR Smelter** exclusively receives tin concentrates from **primary tin mining operations (LSM)** and **cooperatives supplying artisanal and small-scale mines (ASM)**. The company does **not** source secondary tin-bearing materials from recycling companies or process by-products such as slags or other secondary minerals.

Sources of Minerals and/or Materials

MMR exclusively sources minerals from the **Democratic Republic of Congo (100%)**, ensuring compliance with **Dodd-Frank regulations**. All mineral shipments are verified through the **ITSCI traceability program**, which validates mine origin and tag information for each consignment processed by the smelter.

Responsible Sourcing Commitments

MMR is committed to meeting the **OECD's five-step framework for mineral due diligence** and aligns its operations with **Section 7.3 of the Tin Code**. Our dedication to **responsible sourcing** is evident through adherence to the **ICGLR Audit** and **RMAP (Responsible Minerals Assurance Process)**, ensuring compliance with global regulatory frameworks, including **Regulation (EU) 2017/821**.

The company has successfully completed its **first Tin Code independent assessment** against **71 environmental, social, and governance (ESG) standards**, reinforcing its leadership in ethical mineral production.

MMR maintains **active collaborations with the ITSCI program**, ensuring responsible sourcing practices that mitigate conflict financing risks.

Joint Initiatives and Industry Participation

MMR is an **active member** of the **International Tin Association (ITA)** and a full member of **ITSCI (International Tin Supply Chain Initiative)**. MMR personnel regularly participate in **OECD forums**, contributing to the development and implementation of due diligence guidelines.

In 2022, MMR joined **ITA as a board member**, actively participating in industry meetings and contributing to the advancement of responsible tin production standards.

MMR has also pioneered the **first digital tag data processing facility** in the DRC, implementing an **advanced digitalized system** to enhance transparency and efficiency in mineral tracking.

Supply Chain Management and Risk Assessment

Chain of Custody and Traceability

MMR has implemented a **robust traceability system**, integrating **digital tag tracking** to monitor the movement of minerals from mine to smelter. The system records critical data, including **mine origin, production details, cooperative names, and transport routes**, ensuring full transparency.

MMR's due diligence efforts are aligned with ITSCI's risk assessment framework, ensuring compliance with OECD Annex II risk mitigation practices.

Risk Management & Incident Reporting

MMR actively monitors **risks associated with mineral sourcing**, employing a **traceability department** to evaluate and document supply chain risks. The company collaborates with **local authorities, NGOs, and industry stakeholders** to mitigate risks such as:

- Human rights violations
- Illegal mineral trade activities
- Security threats at mining sites
- Supply chain fraud and illicit financing

In **2023**, MMR recorded:

- **8 direct incidents** involving the company
- 10 indirect incidents
- 1 high-level (Level 1) incident

To address these risks, MMR has established a **structured risk management plan**, incorporating on-the-ground assessments, supplier screening, and incident resolution protocols.

Annual Due Diligence Report and Commitments

MMR remains **committed to transparency** in its mineral sourcing activities. Our **Annual Due Diligence Report** provides detailed insights into our compliance efforts, risk mitigation strategies, and sourcing commitments.

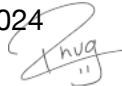
Information on **mine origins and sourcing details** is fully disclosed to **ITSCI and auditors**, ensuring full regulatory compliance.

Raj Chug

Business Development

Mining Mineral Resources S.A.S.

January 15, 2024



**COMPANY ADDRESS: 588, KIPUSHI ROAD, LUBUMBASHI, HAUT-KATANGA, DEMOCRATIC
REPUBLIC OF CONGO**

WEBSITE: WWW.MMRDRC.COM